IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/576,745

Applicant : Takuya Hayashi et al.

Filed : July 10, 2006

Title : LIQUID COMPOSITION FOR BULGING MUCOUS

MEMBRANE FOR USE IN ENDOSCOPIC SURGERY COMPRISING A CHITOSAN DERIVATIVE CONTAINING

CARBOHYDRATE CHAINS

Conf. No. : 8189 TC/A.U. : 1614 Examiner : TBD

Customer No. : 000,116 Docket No. : 40154

LETTER REQUESTING CORRECTED FILING RECEIPT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Enclosed is a copy of the Official Filing Receipt for the above-identified application. The receipt contains an error in the "Assignment for Published Patent Application" section of the receipt. The section should read as follows:

Netech, Inc., Kawasaki-shi, Kanagawa, JAPAN;

Yaizu Suisankagaku Industry Co., Ltd., Yaizu-shi, Shizuoka, JAPAN.

Please issue a corrected filing receipt for this application and forward the same to the undersigned attorney of record.

Respectfully submitted,

PEARNE & GORDON LLP

By: ___/paul a serbinowski/_ Paul A. Serbinowski, Reg. No. 34429

1801 East 9th Street Suite 1200 Cleveland, Ohio 44114-3108 (216) 579-1700

Date: January 5, 2007





116

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/576,745	07/10/2006	1614	590	40154	2	23	2

CONFIRMATION NO. 8189

FILING RECEIPT

OC000000021753026

PEARNE & GORDON LLP 1801 EAST 9TH STREET **SUITE 1200** CLEVELAND, OH 44114-3108

Date Mailed: 12/28/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Takuya Hayashi, Shinagawa-ku, JAPAN; Masayuki Ishihara, Tachikawa-shi, JAPAN; Hirofumi Yura, Kawasaki-shi, JAPAN;

Assignment For Published Patent Application

Netech, Inc., kawasaki-shi, Kanagawa, Japan; Yaizu Suisankagaku Industry Co., Ltd., Yaizu-shi, Shizuoka, JAP,

Power of Attorney: The patent practitioners associated with Customer Number 00116.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP04/15588 10/21/2004

Foreign Applications

JAPAN 2003-360198 10/21/2003

If Required, Foreign Filing License Granted: 12/27/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/576.745**

Projected Publication Date: 04/05/2007

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Liquid composition for bulging mucous membrane for use in endoscopic surgery comprising a chitosan derivative containing carbohydrate chains

Preliminary Class

514

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING

LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



143GE 002/000

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JULY 06, 2006

PTAS

PEARNE & GORDON, ATTN: PAUL SERBINOWSKI 1801 E 9TH STREET, SUITE 1200 CLEVELAND, OH 44114-3108 *500122748A*

5001227484

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 07/06/2006

REEL/FRAME: 017883/0198

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: 40154

ASSIGNOR:

HAYASHI, TAKUYA

DOC DATE: 06/01/2006

ASSIGNOR:

ISHIHARA, MASAYUKI

DOC DATE: 05/31/2006

ASSIGNOR:

YURA, HIROFUMI

DOC DATE: 04/12/2006

ASSIGNEE:

NETECH, INC.

KSP WEST-300-H, 2-1, SAKADO 3-CHOME, TAKATSU-KU, KAWASAKI-SHI KANAGAWA, JAPAN 213-0012 FAGE COOLOGS

017883/0198 PAGE 2

ASSIGNEE:

YAIZU SUISANKAGAKU INDUSTRY CO.,

LTD.

8-13, KOGAWASHINMACHI 5-CHOME,

YAIZU-SHI

SHIZUOKA, JAPAN 425-8570

SERIAL NUMBER: 10576745

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

TITLE: LIQUID COMPOSITION FOR BULGING MUCOUS MEMBRANE FOR USE IN

ENDOSCOPIC SURGERY COMPRISING A CHITOSAN DERIVATIVE CONTAINING

CARBOHYDRATE CHAINS

ASSIGNMENT SERVICES BRANCH PUBLIC RECORDS DIVISION

PATENT ASSIGNMENT

Electronic Version v1.1

07/06/2006

Stylesheet Version v1.1	500122748	
SUBMISSION TYPE:	NEW ASSIGNMENT	

CONVEYING PARTY DATA

NATURE OF CONVEYANCE:

	Name	Execution Date
Takuya Hayashi		06/01/2006
Masayuki Ishihara		05/31/2006
Hirofumi Yura		04/12/2006

ASSIGNMENT

RECEIVING PARTY DATA

		_
Name:	Netech, Inc.	
Street Address:	KSP West-300-H, 2-1, Sakado 3-chome, Takatsu-ku, Kawasaki-shi	
City:	Kanagawa	
State/Country:	JAPAN	
Postal Code:	213-0012	{
		:

Name:	Yaizu Suisankagaku Industry Co., Ltd.
Street Address:	8-13, Kogawashinmachi 5-chome, Yaizu-shi
City:	Shizuoka
State/Country:	JAPAN
Postal Code:	425-8570

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	10576745

CORRESPONDENCE DATA

Fax Number:

(216)579-6073

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: Email:

216-579-1700

awittine2@pearne.com

Correspondent Name:

Pearne & Gordon, Attn: Paul Serbinowski

Address Line 1: Address Line 4:

1801 E 9th Street, Suite 1200 Cleveland, OHIO 44114-3108

ATTORNEY DOCKET NUMBER: 40154

NAME OF SUBMITTER: Paul A. Serbinowski

Total Attachments: 2
source=WING1-40154-Assignment-PAS#page1.tif
source=WING1-40154-Assignment-PAS#page2.tif